

FORM PTO-1390  
(REV. 10-94)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

71272

08/913978  
U.S. APPLICATION NO. OF INT. PAT. PROC. (PCT/ISA)INTERNATIONAL APPLICATION NO.  
PCT/AU96/00189INTERNATIONAL FILING DATE  
02 April 1996PRIORITY DATE CLAIMED  
05 April 1995TITLE OF INVENTION  
OIL FOR AGRICULTURAL USEAPPLICANT(S) FOR DO/EO/US  
Mark HODGKINSON

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## Items 11. to 16. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
14. ☒ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:

## COPIES OF:

- Form PCT/ISA/210 - International Search Report

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.53)	INTERNATIONAL APPLICATION NO. PCT/AU96/00189	EXAMINER'S DOCKET NUMBER <b>71272</b>
		<b>CALCULATIONS</b> PTO USE ONLY
17. <input checked="" type="checkbox"/> The following fees are submitted: <b>BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):</b> Search Report has been prepared by the EPO or JPO ..... <b>\$910.00</b>  International preliminary examination fee paid to USPTO (37 CFR 1.482) ..... <b>\$700.00</b>  No international preliminary examination fee paid to USPTO (37 CFR 1.482), but international search fee paid to USPTO (37 CFR 1.445(a)(2)) ... <b>\$770.00</b>  ✓ Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO .... <b>\$1040.00</b>  International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) ..... <b>\$96.00</b>  <div style="text-align: right;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div>		<div style="border: 1px solid black; padding: 5px;"> <div style="display: flex; justify-content: space-between;"> <span>\$</span> <span>1,040.00</span> </div> </div>
Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest priority date (37 CFR 1.492(e)).		<div style="border: 1px solid black; padding: 5px;"> <div style="display: flex; justify-content: space-between;"> <span>\$</span> <span>130.00</span> </div> </div>
CLAIMS	NUMBER FILED	NUMBER EXTRA
Total claims	16 -20=	0
Independent claims	4 -3=	1
MULTIPLE DEPENDENT CLAIM(S) (if applicable)		+ 260.00
TOTAL OF ABOVE CALCULATIONS		=
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).		<div style="border: 1px solid black; padding: 5px;"> <div style="display: flex; justify-content: space-between;"> <span>\$</span> <span></span> </div> </div>
SUBTOTAL		=
Processing fee of <b>\$130.00</b> for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).		<div style="border: 1px solid black; padding: 5px;"> <div style="display: flex; justify-content: space-between;"> <span>\$</span> <span></span> </div> </div>
TOTAL NATIONAL FEE		=
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) <b>\$40.00</b> per property		<div style="border: 1px solid black; padding: 5px;"> <div style="display: flex; justify-content: space-between;"> <span>\$</span> <span></span> </div> </div>
TOTAL FEES ENCLOSED		=
<div style="border: 1px solid black; padding: 5px;"> <div style="display: flex; justify-content: space-between;"> <span>Amount to be:</span> <span></span> </div> </div>		<div style="border: 1px solid black; padding: 5px;"> <div style="display: flex; justify-content: space-between;"> <span>refunded</span> <span>\$</span> </div> </div>
<div style="border: 1px solid black; padding: 5px;"> <div style="display: flex; justify-content: space-between;"> <span>charged</span> <span>\$</span> </div> </div>		<div style="border: 1px solid black; padding: 5px;"> <div style="display: flex; justify-content: space-between;"> <span></span> <span></span> </div> </div>
a. <input checked="" type="checkbox"/> A check in the amount of <b>\$ 1,250.00</b> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>23-0920</u> . A duplicate copy of this sheet is enclosed.		
NOTE. Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.		
SEND ALL CORRESPONDENCE TO: <b>WELSH &amp; KATZ, LTD.</b> 120 South Riverside Plaza 22nd Floor Chicago, Illinois 60606 Telephone: 312/655-1500		
<div style="border: 1px solid black; padding: 5px;"> <div style="display: flex; justify-content: space-between;"> <span>SIGNATURE</span> <span></span> </div> </div>		<div style="border: 1px solid black; padding: 5px;"> <div style="display: flex; justify-content: space-between;"> <span>NAME</span> <span></span> </div> </div>
<div style="border: 1px solid black; padding: 5px;"> <div style="display: flex; justify-content: space-between;"> <span>27,466</span> <span></span> </div> </div>		<div style="border: 1px solid black; padding: 5px;"> <div style="display: flex; justify-content: space-between;"> <span>REGISTRATION NUMBER</span> <span></span> </div> </div>

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Attorney's Docket No. 71272

08/913976  
108 Rec'd PCT/PTO 25 SEP 1997

IN THE UNITED STATES

☐ RECEIVING OFFICE (RO/US)

☒ DESIGNATED OFFICE (DO/US)

☒ ELECTED OFFICE (EO/US)

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OIL FOR AGRICULTURAL USE  
TITLE OF INVENTION

Mark HODGKINSON  
APPLICANT(S)

Box PCT  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**VERIFIED CERTIFICATION OF EXPRESS MAILING DATE**  
**(INTERNATIONAL APPLICATION (37 CFR 1.10(c)))**

I declare that on September 25, 1997 I deposited with the United States Postal Service in an envelope as "Express Mail, Post Office to Addressee" bearing Label Number EM 509 548 725 US addressed to "Box PCT / Assistant Commissioner for Patents / Washington, D.C. 20231" and having an express mail certification which I executed, the following papers:

Transmittal Letter; {form PTO-1390 [2pgs.]}; Substitute Specification; Preliminary Amendment; 19

pgs. of (substitute) spec., incl. 21 claims and 7 sheets of formal Drawings; Copy of form

PCT/ISA/210 and Publication No. WO96/31120 and Check in the amount of \$1250.00


A copy of these papers from the file of this application is attached.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made, with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application of any patent issuing thereon.

Ronald Hanks

(typed or printed name of person making this verified statement)

Date September 25, 1997



(Signature of person making this verified statement)